A Strategic Framework for ASEAN-UN Cooperation

Aligning Agenda 2030 and the ASEAN Community Vision 2025
General Outline

• ASEAN Basics
  • The changing SE Asian regional architecture: relevance of regionality in multilateralism
  • The rationale for community building and the instruments: A process, not an event
  • Governance & Community-building challenges

• The ASEAN Community Vision 2025
  • Principles, Goals and Objectives
  • Blueprints and Pillars
  • Partnership building & Resource Mobilization

• ASEAN-ESCAP Partnership Strategy
  • Formulation Challenges
  • Formulation Strategy for ASEAN-UN Joint Plan of Action
1967
Bangkok Declaration

1997
ASEAN Vision 2020

2003
Bali Concord II

2007
Cebu Declaration

2015
ASEAN Community
**Rationale for ASEAN Community**

- Need to intensify political cooperation, strengthen peace and security in Southeast Asia
- Enhance economic competitiveness of individual Member States, and of ASEAN as regional market and production base for global economic competition
- Need to narrow the development gaps
- Need to respond effectively to transnational threats to human security and new challenges in the 21st century
- Harness human resources and benefit from rich cultural diversity in the ASEAN region
- Gain international recognition and support for community-building
Coordination Mechanism of ASEAN Integration
Coordination Mechanism of the ASEAN Integration

ASEAN Community

ASEAN Political-Security Community
- ASEAN Ministerial Meeting (AMM)
- ASEAN Regional Forum (ARF)
- Defence
- Law
- Transnational Crime

ASEAN Economic Community
- ASEAN Economic Ministers (AEM)
- ASEAN Free Trade Area (AFTA)
- Energy
- Food, Agriculture & Forestry
- Finance
- Investment
- Minerals
- Mekong Basin Development Cooperation
- Transport
- Telecommunication & IT
- Tourism
- Sectoral Bodies under the Purview of ASEAN Economic Ministers

ASEAN Socio-Cultural Community
- Culture & Arts
- Disaster Management
- Education
- Environment
- Health
- Information
- Labour
- Rural Development & Poverty Eradication
- Science & Technology
- Social Welfare & Development
- Women
- Youth

ASEAN Political-Security Community Blueprint
ASEAN Economic Community Blueprint
ASEAN Socio-Cultural Community Blueprint

Community Outreach
ASEAN Road Map Governance, Components and Structures:
Cha-Am Declaration (1 March 2009)

Foundation Declaration

Integral Documents

Implementing & Monitoring Authorities

Resource Mobilization

SG

Dialogue Partners

Sectoral Partners

Development Partners

External Parties

ASEAN Road Map (2009 - 2015)

BLUEPRINT ASEAN Political-Security Community (APSC)

BLUEPRINT ASEAN Economic Community (AEC)

BLUEPRINT ASEAN Socio-Cultural Community (ASCC)

Primary Goal

Characteristic

Element

Action Line

Implementation

Resources

Communications

Review
ASEAN Community Challenges

- Defining & redefining ASEAN Centrality
- The reality of a fast approaching target to realize an ASEAN Community
- The need to consider the next stages of ASEAN’s integration efforts
- Strategically positioning ASEAN in the evolving regional architecture: homogenous to asymmetric regionalism
- Opportunities and risks of multilateral regionalism, mega-regional FTAs

UNDERLYING PRINCIPLES

- Be enhancing and consolidating the ASEAN Community
- Maintain and enhance peace and stability
- Promote common prosperity
- Promote a people-oriented and people-centred ASEAN
- Continue ASEAN’s outward-looking approach
- Promote ASEAN’s common global platform
- Strengthen ASEAN’s institutional framework
Multilateral Regionalism and Emerging Markets – Opportunities and Risks in Global Trade

Trans-Pacific Partnership (TPP)
→ Covers 26% of world trade


Regional Comprehensive Economic Partnership (RCEP)
→ Covers 27% of world trade


Transatlantic Trade and Investment Partnership (TTIP)
→ Covers 30% of world trade

Income Effects of TPP and RCEP in 2025 in %

<table>
<thead>
<tr>
<th></th>
<th>China</th>
<th>India</th>
<th>US</th>
<th>Japan</th>
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<tbody>
<tr>
<td>TPP</td>
<td>-0.2</td>
<td>-0.05</td>
<td>0.38</td>
<td>1.96</td>
</tr>
<tr>
<td>RCEP</td>
<td>1.45</td>
<td>1.74</td>
<td>0.00</td>
<td>1.79</td>
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</table>
ASEAN COMMUNITY VISION 2025
ASEAN Community Vision 2025

- **People First**: Realise a people-oriented, people-centred and rules-based ASEAN of “One Vision, One Identity, One Community”.

- **Embracing**: Aim to be a more inclusive, cohesive and resilient ASEAN Community, guided by the purposes and principles of the ASEAN Charter.

- **Sustainable and Principled**: A community that is robust, vibrant, and people-oriented, people-centred with highly integrated economies anchored on sustainable development where its citizens enjoy a higher quality of life, human rights and fundamental freedoms and the benefits of community-building.

- **Sense of Self**: All these factors would reinforce the Community’s sense of togetherness and common identity.
The Community Building Milestones

ASEAN Political-Security Community

1. Ensure that the peoples and Member States of ASEAN live in peace with one another and with the world at large.

2. Strengthen the mutually beneficial relations between ASEAN and its Dialogue Partners and friends.

3. Maintains the centrality and proactive role of ASEAN in a regional architecture that is open, transparent and inclusive, while remaining actively engaged, forward-looking and non-discriminatory.
The Community Building Milestones

ASEAN Political-Security Community – Milestones

International recognition of the Treaty of Amity and Cooperation as a key code of conduct for inter-state relations in the region has grown remarkably.

The TAC now has 32 High Contracting Parties.
The Community Building Milestones

ASEAN Political-Security Community – Milestones

78 Non-ASEAN Member States and organizations have accredited their Ambassadors to ASEAN.

ASEAN has established 42 ASEAN Committees in Third Countries around the globe to further contribute to raise ASEAN awareness and profile.

APSC Blueprint implementation rate: **86%**
*(as of 15 December 2014)*
ASEAN Community Vision 2025: Political Security Community Blueprint Characteristics

• United, inclusive and resilient community

• ASEAN peoples live in a safe, harmonious and secure environment, embrace the values of tolerance and moderation and uphold ASEAN fundamental principles, shared values and norms

• Cohesive, responsive and relevant in addressing challenges to regional peace and security and play a central role in shaping the evolving regional security architecture, while deepening engagement with external partners and contributing collectively to global peace, security and stability
The Community Building Milestones

ASEAN Economic Community

Establish ASEAN as:

- Single market and production base
- Competitive economic region
- Equitable economic development
- Fully integrated region in the global economy
The Community Building Milestones

ASEAN ECONOMIC COMMUNITY

SINGLE MARKET AND PRODUCTION BASE
- Free flow of goods
- Free flow of services
- Free flow of investment
- Freer flow of capital
- Free flow of skilled labor
- Priority integration sectors
- Food, Agriculture and Forestry

COMPETITIVE ECONOMIC REGION
- Competition policy
- Consumer protection
- Intellectual property rights
- Infrastructure development
- Taxation
- e-Commerce

EQUITABLE ECONOMIC DEVELOPMENT
- SME development
- Initiative for ASEAN Integration (IAI)

Integration into the Global Economy
- Coherent approach towards External Economic Relations
- Enhanced participation in global supply networks

Human Resource Development
Research and Development
AEC Scorecard Key Deliverables

83.8% *as of Dec 2014

Ongoing
Implemented Ahead
Not Implemented
Fully Implemented
The Community Building Milestones

AEC Key Achievements

• Free flow of goods: The ASEAN Trade in Goods Agreement (ATIGA).
• Free flow of services: The ASEAN Framework Agreement on Services (AFAS) and the Mutual Recognition Arrangements.
• Free flow of investment: The ASEAN Comprehensive Investment Agreement (ACIA) and the Protocol to Amend the ACIA.
• Freer flow of capital: Implementation of measures to further spur financial services liberalisation, capital market development, and capital account liberalisation.
• Free flow of skilled labour: The ASEAN Agreement on the Movement of Natural Persons and the ASEAN Qualification Reference Framework (AQRF).
The Community Building Milestones

AEC Key Achievements

• For Pillar 2 (Competitive Economic Region), measures to develop capacities and frameworks on competition policy and law, consumer protection and IPR, and infrastructure development measures to enhance the region’s competitiveness and connectivity.

• To narrow the development gaps (Pillar 3), ASEAN Leaders endorsed the ASEAN Framework on Equitable Economic Development (EED) in November 2011.

• On-going negotiations for the Regional Comprehensive Economic Partnership (RCEP) and preparations for the ASEAN-Hong Kong Free Trade Area (AHKFTA) negotiations.
ASEAN Community Vision 2025: Economic Community Blueprint Characteristics

• Highly integrated and cohesive; competitive, innovative and dynamic
• Enhanced integration and cooperation across sector
• Fostering a more resilient, inclusive and people-oriented, people-centred community that is integrated into the global economy.
The Community Building Milestones

ASEAN SOCIO-CULTURAL COMMUNITY

1. Realising an ASEAN Community that is people-oriented and socially responsible to achieve enduring solidarity and unity among the peoples and Member States of ASEAN.

2. Forming a common identity and build a caring and sharing society which is inclusive and where the well-being, livelihood, and welfare of the peoples are enhanced.
The Community Building Milestones

ASEAN SOCIO-CULTURAL COMMUNITY

Heightened Commitments

- Declaration on Non-communicable Diseases in ASEAN
- Declaration on Elimination of Violence Against Women and Elimination of Violence Against Children in ASEAN
- Development of ASEAN instruments for the protection and promotion of the rights of migrant workers
The Community Building Milestones

ASEAN SOCIO-CULTURAL COMMUNITY

Quick, tangible action

Humanitarian assistance through the ASEAN Coordinating Centre for Humanitarian Assistance (AHA Centre)

ASCC Blueprint implementation rate: 100%
(as of November 2015)
ASEAN Community Vision 2025: Socio-Cultural Community Characteristics

- A committed, participative and socially-responsible community through an accountable and inclusive mechanism for the benefit of all ASEAN peoples, upheld by the principles of good governance;
- An inclusive community that promotes high quality of life, equitable access to opportunities for all and promotes and protects human rights of women, children, youths, the elderly/older persons, persons with disabilities, migrant workers, and vulnerable and marginalised groups;
- A sustainable community that promotes social development and environmental protection through effective mechanisms to meet the current and future needs of the peoples;
- A resilient community with enhanced capacity and capability to adapt and respond to social and economic vulnerabilities, disasters, climate change as well as emerging threats, and challenges; and
- A dynamic and harmonious community that is aware and proud of its identity, culture, and heritage with the strengthened ability to innovate and proactively contribute to the global community.
Joint Plan of Action: Special considerations

- Alignment with ASEAN Vision and SDG Goals & Principles
- 2013-2015 Joint Report Recommendations
- Cross-cutting Issues
- Partnership Strategy
- Resource Mobilization
- Governance Mechanism
- Results and M&E Framework
Comparing Regional and Global Post-2015 Visioning Principles

<table>
<thead>
<tr>
<th>ASEAN</th>
<th>Sustainable Development Goals</th>
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<tbody>
<tr>
<td>• Be enhancing and consolidating the ASEAN Community</td>
<td>• Clustered, based on related themes</td>
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<tr>
<td>• Maintain and enhance peace and stability</td>
<td>• Guide international development cooperation beyond 2015</td>
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<tr>
<td>• Promote common prosperity</td>
<td>• Based on shared principles, and common global goals</td>
</tr>
<tr>
<td>• Promote a people-oriented and people-centred ASEAN</td>
<td>• Common targets but differentiated or flexible to be tailored to national characteristics, priorities and level of development</td>
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<tr>
<td>• Continue ASEAN’s outward-looking approach</td>
<td>• Incorporate existing goals and targets</td>
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<tr>
<td>• Promote ASEAN’s common global platform</td>
<td>• Monitoring and measuring should take into account different starting points and baselines across countries</td>
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</table>
Opportunities and Plans for Sub-Regional Cooperation as Basis for Implementing the 2030 Agenda

• High priority accorded by ASEAN on sustainable development

• High political commitment by ASEAN on the SDG:
  • The ASEAN Community Vision 2025 underlines the complementarity of the United Nations 2030 Agenda for Sustainable Development with ASEAN community building efforts to uplift the standards of living of ASEAN peoples

• ASEAN-UN Comprehensive Partnership
  • A 5-year plan of action will be developed to implement the partnership with the ASEAN Community Vision 2025 and the SDG as references
Opportunities and Plans for Sub-Regional Cooperation as Basis for Implementing the 2030 Agenda

• ASEAN-UN Comprehensive Partnership (cont.)
  • Some considerations:
    • To contain specific and feasible actions across the 3 pillars of the ASEAN Community
    • To prioritise high-impact cross-pillar issues such as reducing poverty and hunger, tackling inequalities and promoting women empowerment, fostering sustainable economic growth and equitable social development, protecting the environment, ensuring peoples’ access to clean water and clean air, minimising adverse impacts of climate change, and building effective institutions to achieve sustainable and inclusive development
    • Complementarity of ASEAN Community Vision 2025 and SDG should be promoted especially on the issue of sustainable development
    • Sustainable funding for joint ASEAN-UN activities remain a key challenge
Opportunities and Plans for Sub-Regional Cooperation as Basis for Implementing the 2030 Agenda

- Existing ASEAN platforms and initiatives that can support SDG implementation
  - Sectoral bodies’ work plans, projects and initiatives
  - Cross-sectoral governance mechanisms that handle cross-cutting issues (e.g. Haze, humanitarian assistance, etc.)
  - Platforms for multi-stakeholder consultation and engagement at the regional level across the pillars
Opportunities and Plans for Sub-Regional Cooperation as Basis for Implementing the 2030 Agenda

• Lessons from the MDG achievement in ASEAN

  • A study conducted by ASEAN and UNDP published in October 2015
  • Highlighted ASEAN’s achievements along MDGs but also pointed out challenges in the post-2015 era

• Ways Forward:
  • Enhancing policy coordination and coherence
  • Strengthen regional implementation mechanisms and follow through
  • Promoting good practices
  • Improving monitoring frameworks and statistical capabilities
2013-2015 Joint Report Recommendations

• PoA can substitute for a multiplicity of Memoranda of Understanding and Frameworks of Action and Cooperation (MoUs/FACs).
  – A central and binding instrument essential only in implementation of the Joint Declaration and agreements reached by the AUMM
  – Underpin and secure the slew of ASEAN-UN programmes and projects agreed to be implemented during the 2016-2020 period, thus obviating the need for MoUs and FACs.
• Establishing an enhanced coordination mechanism that is systematic and facilitates the work on issues that cut across all pillars
• Holding regular senior and working-level meetings between the UN System and ASEAN’s senior officials and sectoral bodies, as well as the UN System and the ASEAN Secretariat
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<tr>
<th>Global &amp; Regional Emerging Cross-Cutting Issues Beyond 2015</th>
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<tbody>
<tr>
<td><strong>UN High-Level Panel</strong></td>
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<tr>
<td>• Peace</td>
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<td>• Inequality</td>
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<td>• Climate Change</td>
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<td>• Cities</td>
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<td>• Young People</td>
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<tr>
<td>• Girls and Women; and</td>
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<tr>
<td>• Sustainable Consumption and Production Patterns</td>
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<tr>
<td><strong>ASEAN Socio-Cultural Community</strong></td>
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<tr>
<td>• Climate change and Disaster management – the need to build climate adaptive communities and a disaster resilient society</td>
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<tr>
<td>• Food safety</td>
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<td>• Health and well-being, including pandemics, emerging infectious diseases, drug-resistant diseases especially cross-border</td>
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<tr>
<td>• Education and youth entrepreneurship</td>
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<td>• Poverty reduction</td>
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<td>• Social Protection</td>
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Cross-cutting Partnership Strategy

- Strengthen regional mechanisms to promote collective action and mutual support to address emerging cross-cutting issues
- Maintain people-centred approach of the ASEAN Charter and inclusivity by engaging more elements of ASEAN society
- Creation of innovative partnerships that networks civil society, scientists and think tanks and the private sector
- Promote cross-sectoral partnerships as a solution to the most pressing social problems facing contemporary societies
PoA Governance Mechanism

- Promote coordination between regional aspirations and national goals
- Community Blueprints to be integrated into national policies and programmes
- AUMM, ASEAN Coordinating Council (ACC) and Community Councils to
  - follow up all necessary actions in developing the annual targeted achievements
  - Reviewing the Community Blueprints with the aim of ensuring that all the activities are responsive to the needs and priorities of ASEAN.
  - ACC and Secretary-General of ASEAN to monitor and encourage such coordination
- CPR to promote cooperation and coordination across pillars and sectoral bodies, and with Dialogue Partners and other external partners
- Develop yearly targeted achievements of Community Blueprints as well as new possible cooperation
- Develop Scorecard and Results Management Framework
Planning Framework

- Recommend standard definitions and usage of terms
- Undertaking a regional assessment of cross-cutting themes and cross-sectoral opportunities
- Enhancing policy linkages between IAI, Blueprints, and other policy documents that are cross-cutting
- Develop indicators that can measure process of cross-sectoral programme and activities
- Encourage integration of national, regional and global strategies
- Mainstream cross-cutting policies and issues into sectoral work programming
- Implementation of sustainable cross-sectoral programmes and activities
- Disseminate and share practices and experiences in cross-cutting issues
### ASCC Blueprint Results Framework

<table>
<thead>
<tr>
<th>Objectives</th>
<th>Key Results Areas</th>
<th>Key Performance Indicators</th>
<th>Targets and Timelines</th>
<th>Annual/Multi-Year Funding Targets</th>
<th>Lead / Coordinating Bodies &amp; Cooperating Sectoral Bodies or Other ASEAN Organs</th>
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<tr>
<td>Enhance commitment, participation and social responsibility of ASEAN peoples through an accountable and inclusive mechanism for the benefit of all.</td>
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<td>Promote equitable access to opportunity for ASEAN people, as well as promote and protect human rights.</td>
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<tr>
<td>Promote social development and environmental protection through effective mechanisms to meet the current and future needs of the people.</td>
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<tr>
<td>Enhance capacity and capability to adapt and respond to social and economic vulnerabilities, disasters, climate change as well as emerging threats, and challenges.</td>
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<tr>
<td>Strengthen ability to continuously innovate and be a proactive member of the global community.</td>
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## Joint Plan of Action Key Activities

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<th>Time-frame</th>
<th>Activity</th>
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<tr>
<td>November 2015-February 2016</td>
<td>Internal ASEAN consultations and preparation of the zero draft</td>
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<tr>
<td>March-April</td>
<td>Dissemination of zero draft</td>
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<tr>
<td>April-August</td>
<td>Joint reviews between UN agencies and ASEAN sectoral ministerial and senior official bodies, and bilateral review between ASEAN-UN agencies, Secretariat-to-Secretariat and RCM meetings.</td>
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<tr>
<td>September</td>
<td>PrepSOM and AUMM for final review and endorsement. Once formally endorsed, ASEAN and the UN System can proceed with their respective programme formulation, appraisal and endorsement processes</td>
</tr>
<tr>
<td>October/November</td>
<td>Formal notation by ASEAN Summit</td>
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